# BOARD OF REGENTS SUPPORT FUND AWARDS TO LOUISIANA ARTISTS AND SCHOLARS PROGRAM

Guidelines for the Submission of Proposals

## FISCAL YEAR 2012-13

Request for Proposals Number 2012-10

#### **IMPORTANT SUBMISSION DEADLINES:**

Mandatory Notice of Intent: Wednesday, October 10, 2012, 4:30 p.m.

Proposal: Wednesday, November 21, 2012, 4:30 p.m.

P. O. Box 3677

Baton Rouge, Louisiana 70821-3677

(225) 342-4253

#### **REQUEST FOR PROPOSALS, NUMBER 2012-10**

#### **Important Notices**

#### 1. Inquiries about this RFP

In accordance with R.S. 39:1503, written and oral inquiries about this request for proposals (RFP) will be accepted until 4:30 p.m., October 15, 2012, or until 4:30 p.m. of the first working day following this date. No inquiry will be accepted--whether written or oral--after that date to ensure that all interested parties receive the same information.

### 2. Suggestions for Improvements in this RFP

The Board of Regents actively solicits constructive suggestions about ways in which this RFP can be improved. All such suggestions must be received no later than October 15 to be considered prior to the issuance of the next RFP.

## 3. Board of Regents' Commitment to Reform-Based Undergraduate Education and Teacher Preparation

At its May 22, 1997, meeting, the Board of Regents reaffirmed its commitment to the reform of undergraduate education and teacher preparation and encouraged all Support Fund program applicants to consider these priorities as they develop proposals. Further, Board staff will make all external reviewers aware of the Board's commitment to undergraduate reform and teacher preparation. Reviewers will be instructed that, when all else is equal, preference should be given to those proposals which emphasize, in a meaningful manner, reform-based undergraduate education and teacher preparation.

#### 4. Availability of the RFP on the Internet

As part of the Board's ongoing effort to streamline RFPs, and to ensure that this document is as widely disseminated as possible while minimizing the number of paper copies that institutions must produce, this RFP is available on the Internet: http://web.laregents.org/downloads/rfps-policies-forms/.

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#### AWARDS TO LOUISIANA ARTISTS AND SCHOLARS REQUEST FOR PROPOSALS, FY 2012-13

#### I. GENERAL INFORMATION

#### A. BASIS OF AUTHORITY

Article VII, Section 10.1 of the Louisiana Constitution established two funds in the State Treasury: the Louisiana Education Quality Trust Fund (hereinafter referred to as the Trust Fund) and the Louisiana Education Quality Support Fund (now known as the Board of Regents Support Fund and hereinafter referred to as the Support Fund). The Trust Fund was established with approximately \$550 million received from settlement of disputed oil and gas revenues generated in the so-called 8(g) stipulation of the Federal Outer Continental Shelf Lands Act. Twenty-five percent of the interest earned from investment of monies in the Trust Fund, as well as 25% of recurring 8(g) oil and gas revenues, will continue to be returned to the Trust Fund, until it reaches a cap of \$2 billion. Each fiscal year the remaining 75% of interest earned and 75% of recurring oil and gas revenues are placed in the Support Fund for appropriation by the Legislature.

#### B. PURPOSES OF THE BOARD OF REGENTS SUPPORT FUND

On an annual basis, Support Fund money is divided equally between the Board of Elementary and Secondary Education (BESE) and the Board of Regents (hereinafter referred to as the Board) for higher education. According to Article VII of the Constitution, the funds available for higher education from the Support Fund are to be utilized "...as that money is appropriated by the Legislature and allocated by the Board for any or all of the following higher educational purposes to enhance economic development:

- i. the carefully defined research efforts at public and private universities in Louisiana;
- ii. the endowment of chairs for eminent scholars;
- iii. the enhancement of the quality of academic, research, or agricultural departments or units within a university; and
- iv. the recruitment of superior graduate students."

The Article further stipulates that "The monies appropriated by the Legislature and disbursed from the Support Fund shall not... displace, replace, or supplant other appropriated funding for higher education..."

Reflecting these Constitutional mandates, the Board's Policy for Administration of Funds Received from the Board of Regents Support Fund (hereinafter referred to as the Board's Policy for Administration), adopted in October 1986, affirms that awards in all categories will be based on the following considerations:

- A. the potential for the award to enhance the overall quality of higher education in Louisiana; and
- B. the potential for the award to enhance the economic development of the State.

#### C. PROGRAM ADMINISTRATOR; QUESTIONS ABOUT THIS RFP

Specific questions concerning this RFP and the requirements set forth herein should be directed to Ms. Carrie Robison, ATLAS Program Manager (<a href="mailto:carrie.roider@la.gov">carrie.roider@la.gov</a> or 225-342-4253). In compliance with R.S. 39:1503, questions will be accepted and answered until October 15, 2012 (or until 4:30 p.m. of the first working day following this date). As soon as possible after that date, all questions asked about this RFP and all answers provided in response to these questions will be collected and posted on the Board of Regents Sponsored Programs website (http://laregents.org). No inquiries, whether oral or written, will be accepted after the deadline date to ensure that all interested parties receive the same information.

#### II. THE AWARDS TO LOUISIANA ARTISTS AND SCHOLARS PROGRAM

#### A. OBJECTIVES

The Awards to Louisiana Artists and Scholars (ATLAS) program provides support for major scholarly and artistic productions with potential to have a broad impact on a regional and/or national level. These activities will contribute to the Support Fund's comprehensive objective of strengthening the educational, artistic, and research bases of

Louisiana institutions. Proposed activities should enable the applicant to seek publication and/or presentation of the supported work within a limited period of time.

The primary focus of this program is on the scholarly and/or artistic merit of the proposed work. Applicants should describe their projects in terms of their necessity, importance, originality, and likelihood to have an impact on a broad academic and/or artistic community.

#### **B. ELIGIBILITY CONSIDERATIONS AND REQUIREMENTS**

- 1. <u>ELIGIBLE FACULTY:</u> The ATLAS program is available to Louisiana faculty members who can demonstrate outstanding creative ability and/or a strong capacity for productive scholarship and show exceptional promise for future accomplishment in an eligible discipline. Only individuals employed by an eligible Louisiana institution of higher education may apply.
- 2. <u>ELIGIBLE INSTITUTIONS:</u> The Board's Policy for Administration stipulates that all Louisiana public institutions of higher education and those independent institutions of higher education which are members of the Louisiana Association of Independent Colleges and Universities are eligible to compete in Support Fund programs.
- 3. <u>ELIGIBLE ACTIVITIES:</u> The ATLAS program will support activities related to the completion of an important artistic or scholarly work. The emphasis of this program is on the completion of substantive works, such as major fine art exhibitions, significant works of fiction, poetry, film, and drama, and scholarly monographs. Under unique circumstances and with strong justification, projects leading to the publication of substantial journal articles will be considered. ATLAS does not provide support for early-stage research or data-gathering, or for completion of minor creative or scholarly projects.
  - The program provides support for the production of <u>original</u> works of art, but does not offer funding for performance or presentation activities not linked to the completion of an original work. Performances of plays, musical compositions, and choreographed works, as well as presentations of artistic works not completed by an awardee as part of the Board of Regents program, are not eligible unless they demonstrate significant new creative engagement. It is solely the responsibility of the applicant to demonstrate persuasively the extent to which a project meets this requirement.
- 4. <u>ELIGIBLE DISCIPLINES:</u> All projects in Arts, Humanities, and Social Sciences are eligible. Potential applicants should reference the Taxonomy of Disciplines contained in Appendix A of this RFP for subdisciplines included in these broad categories. ATLAS eligibility is determined by the project's topic, and not the departmental or disciplinary affiliation/expertise of the submitting faculty member.

#### C. MONETARY LIMITATIONS

An eligible applicant may seek not more than \$50,000 over a one-year period. A proposal from multiple eligible faculty members completing a single cohesive project may request support of not more than \$50,000 per participating faculty member. For all projects, the academic-year salary request may not exceed 50% of the applicant's academic-year salary and fringe benefits, and leave equivalent to the salary requested must be guaranteed by the institution for all projects requesting salary support from the Board (see RFP Section V.B.7.d). Summer salary support may be requested provided institutional matching requirements are met and such support is demonstrated to be part of a faculty member's allowed percentage for summer salary. Applicants may request funds for expenses, including student assistants, publication costs, research travel, and equipment. In addition, funds may be requested for travel related to presentations of ATLAS-funded work and for out-of-state consultants to travel to Louisiana to assist in project work. Applicants should be aware, however, that requests for such items are subject to close scrutiny by review panels and may be reduced or eliminated. All such requests should, therefore, be strongly justified in terms of the project's specific requirements.

#### D. PROJECT DURATION

No applicant may seek more than one year of support through the ATLAS program.

#### E. FUNDS AVAILABLE

The FY 2012-13 Support Fund Plan and Budget allocates \$450,000 to fund new awards in the ATLAS program. The Support Fund, however, has in recent years received substantially less income than projections indicated; thus the actual amount available for new awards in ATLAS may be reduced.

#### F. COST SHARING AND MATCHING COMMITMENTS

The submitting institution shall provide the applicant with some reduction of work with full pay during the award year. In addition, the submitting institution is required to match on a 1:1 basis any request for salary support from the ATLAS program. For all leave with pay provided by the submitting institution, if the institution permits this leave to be provided as institutional match, this must be documented on the proposal's budget page.

Any request for salary support from the Support Fund must be accompanied by a guarantee of release time from the institution equivalent to the salary period to be paid by the Board. A letter from appropriate institutional authorities detailing and guaranteeing any faculty release or leave must accompany the proposal. The proposal should indicate how the total amount of release time from all sources will be sufficient for the applicant to complete the work for which support is requested.

Applicants and their fiscal agents should be aware that cost-sharing and matching commitments of any kind (e.g., private sector, federal, institutional) which are pledged in the proposal <u>must</u> be honored in full if the proposal is funded at the requested level. Depending upon consultants' recommendations, matching commitments may have to be honored in full even if the award level is reduced. Support Fund money will not be forwarded until appropriate written assurances of all matches and cost sharing promised in the proposal have been received, reviewed, and approved by the Board's staff. Further, the required signature of the fiscal agent on the proposal cover page is a certification to the Board that the fiscal agent is aware of the claimed commitment(s) and has determined said commitment(s) to be consistent with all applicable guidelines, regulations, and/or statutes. Similarly, the fiscal agent's signature, which is required on the budget page(s) of funded projects, is a certification to the Board that commitments pledged in the proposal have been honored. All matching funds must meet the same tests of allowability as Support Fund money which is expended.

#### G. INSTITUTIONAL SCREENING COMMITTEE

The Board's Policy for Administration requires that proposals be carefully screened by a campus committee to ensure that no conflict of interest exists (as defined in the "Code of Governmental Ethics," R.S. 1950, Title 42, Chapter 15, as amended) and that only the most meritorious proposals from each campus, which meet objectives and requirements as defined in this RFP, are submitted to the Board.

Submission of the proposal by the institution through the Board's online system (LOGAN) is considered a guarantee that no conflict of interest exists and that the proposal: (1) has been reviewed and approved for submission to the Board by all appropriate institutional officials who regularly are required to review proposals submitted for external review, including the submitting organization's authorized fiscal officer; (2) has met the program's objectives and eligibility requirements as described in this RFP; and (3) is in the format required by the Board.

#### H. ASSESSMENT OF PROPOSALS BY OUT-OF-STATE EXPERTS

The Board's Policy for Administration stipulates that "proposals forwarded to the Board of Regents will undergo a merit review by out-of-state experts in the priority areas." Considerable care will be taken to ensure that these reviewers are (1) expert researchers in their fields and (2) impartial evaluators. The review will involve two stages:

#### 1. Subject-Area Reviews

Several review panels, representing broad disciplinary categories in which proposals are submitted, evaluate groups of proposals submitted in their disciplines and provide a report and recommendations for funding to the final panel for consideration.

#### 2. Final Panel Evaluation

A team of out-of-state experts prepares a report which ranks all proposals submitted for consideration. In arriving at its conclusions, this panel considers the program's objectives and guidelines, comments and

recommendations from the first review stage, and any additional pertinent written comments. The final panel may suggest budgetary revisions as it deems necessary and appropriate, taking into consideration recommendations of subject-area reviewers.

#### I. FINAL SELECTION OF PROPOSALS TO BE FUNDED

After receiving recommendations of out-of-state experts, the Board of Regents decides which proposals will be funded. The Board of Regents staff, acting on behalf of the Board, sets documentary requirements for the processing and execution of contracts developed from proposals approved for funding by the Board.

#### J. DEBRIEFING

Copies of evaluation materials submitted by reviewers will be mailed to unsuccessful applicants in July 2013.

#### K. TIMETABLE

Contingent upon Board and Legislative action, the following schedule for submission, assessment, and approval of grants through the ATLAS program will apply for FY 2012-13. If any of the following dates fall on a Saturday, Sunday, or holiday, the deadline(s) will be extended to 4:30 p.m. of the next working weekday:

| October 10, 2012, 4:30 p.m.  | Deadline for receipt of mandatory notice of intent via LOGAN                  |  |
|------------------------------|---|--|
| October 15, 2012             | Last day that potential applicants may ask questions about the RFP            |  |
| November 21, 2012, 4:30 p.m. | Deadline for receipt of proposals via LOGAN                                   |  |
| November 2012 - March 2013   | Proposals transmitted to and reviewed by out-of-state experts                 |  |
| April 2013                   | Reports and recommendations of out-of-state experts forwarded to institutions |  |
| April 2013                   | Final action by the Board   |  |
| May - June 2013              | Contracts negotiated and executed   |  |
| July 2013                    | Dissemination of debriefing information to unsuccessful applicants            |  |

#### L. EVALUATION OF FUNDED PROJECTS AND REPORTS REQUIRED

The Board's Policy for Administration states that: "The Board of Regents will require that institutions receiving monies from the Support Fund report periodically on the utilization of these monies. All programs supported by the Fund will be reviewed at least annually. Data and information collected for review will vary depending upon the type of activity involved, but information necessary to assess the effectiveness of each project will be gathered. As appropriate, services of out-of-state consultants may be used in the evaluation process." Periodically, the Board of Regents will conduct a comprehensive review and evaluation of each funded project. One Progress report and one Financial Status report, due upon completion of the project, will be required of the awardee.

#### M. PREVIOUS SUBMISSIONS AND REQUESTS FOR CONTINUATION FUNDING

Applicants unsuccessful in previous competitions are encouraged to resubmit proposals. All applicants should note, however, that submission of a proposal in a previous funding cycle does <u>not</u> relieve the applicant of the requirements set forth in this RFP of submitting a notice of intent and full proposal in the current cycle if he/she wants the same or a similar proposal to be considered for funding. This rule holds true regardless of whether the proposal was among those that were considered meritorious and recommended for funding by a peer review panel. Additionally, the fact that a proposal was <u>recommended</u> for funding in a previous year is not an indication that the proposal will automatically be funded in another competitive cycle.

Requests for continuation of projects funded in a previous competition will not be accepted. <u>This includes requests for continuation of research projects in social sciences disciplines previously funded through the Support Fund's Research Competitiveness Subprogram (RCS).</u>

#### III. PROCEDURE AND DEADLINE FOR SUBMISSION OF NOTICES OF INTENT

Before a full proposal will be accepted, the applicant must first submit a completed notice of intent form for each potential proposal. This two-page document provides preliminary information about the project, including the title, investigator, amount requested, and a brief project summary.

ATLAS notices of intent must be submitted electronically, via the Louisiana Online Grants Administration Network (LOGAN). LOGAN may be accessed at <a href="http://web.laregents.org">http://web.laregents.org</a> by clicking "LOGAN" in the menu at the top of the page. Because institutional approval is granted by the submission of the proposal to the Board through each institution's Office of Sponsored Programs, signatures are not required.

<u>NOTE</u>: All rules, regulations, and limitations in the RFP for research proposals (e.g., limitations on the maximum amount of funds that may be requested per annum, the number of proposals that may be submitted, etc.) also hold true for notices of intent.

#### IV. PROCEDURE AND DEADLINE FOR SUBMISSION OF PROPOSALS

ATLAS proposals must be submitted electronically, via LOGAN. As with notices of intent, because institutional approval is granted by the submission of the proposal to the Board through each institution's Office of Sponsored Programs, signatures are not required.

If necessary, the title of the proposed project and the amount of funds requested in the notice of intent may be changed <u>slightly</u> when the full proposal is submitted. The substance and subject matter of the proposal may not change.

#### V. PROPOSAL REQUIREMENTS AND FORMAT

The following requirements and format for research proposals must be followed closely. Proposals which do not adhere to these guidelines will not be considered for funding in the year of submission and the applicant will be notified that the proposal has been deemed non-compliant.

<u>NOTE:</u> The applicant is responsible for ensuring that the proposal is complete and correct upon submission to the Board, and no changes may be made to any proposal after the submission deadline. Disqualification of a proposal and/or any reviewer misunderstandings that occur because proposal contents (including all required forms) are incomplete, out of order, or contain incorrect information are solely the responsibility of the applicant.

#### A. GENERAL REQUIREMENTS AND STIPULATIONS

- 1. <u>LIMITATION ON NUMBER OF PROPOSALS THAT MAY BE SUBMITTED:</u> A principal investigator may submit a maximum of <u>one</u> proposal per competition to the ATLAS program.
- 2. <u>SUBMISSION REQUIREMENTS:</u> The NoI and proposal must be submitted electronically through LOGAN, which may be accessed at <a href="http://web.laregents.org">http://web.laregents.org</a> by clicking "LOGAN" on the menu at the top of the page. Physical originals and/or copies are not required and will not be accepted <a href="except">except</a> when a submitted work sample is in a file format that cannot be uploaded to the system or is only available in hard copy. In such cases, eight (8) copies of the sample should be submitted to the Board of Regents, following the guidelines for labeling and submission provided in the LOGAN ATLAS proposal module. Only work samples may be submitted in hard copy; all other proposal documents must be received through LOGAN.
- 3. <u>ADDENDA SUBMITTED AFTER RECEIPT OF PROPOSAL:</u> Proposals submitted to the Board must be complete and correct upon submission. No addenda, corrections, or revisions will be accepted after final receipt of the proposal. A proposal sent to the Board of Regents through LOGAN may be released upon request of the submitting institution if additional changes are needed, provided such request is made before

the deadline for receipt. A released proposal <u>must be resubmitted through LOGAN</u> prior to the deadline to be eligible for funding consideration.

- 4. <u>GENERAL FORMAT STIPULATIONS:</u> All narrative sections of the proposal must be presented in a single PDF document with pages numbered, 1-inch margins at the top, bottom and on each side, and in type no smaller than 12 point. Forms must be completed and proposals submitted via LOGAN.
- 5. GUIDELINES FOR IDENTIFYING, LABELING AND CERTIFYING THE CONFIDENTIAL NATURE OF INFORMATION CONTAINED IN PROPOSALS: Without assuming any liability for inadvertent disclosure and except for the purposes of evaluation, the Board of Regents will limit dissemination of, or access to, information certified to be of confidential or proprietary nature which falls into a category described by R.S. 44:4(16), as long as the following conditions and assurances have been met and guidelines have been followed:
  - a. <u>Each page</u> of the proposal and/or work sample which contains information to be protected must be clearly and conspicuously identified and marked as confidential. Revisions, amendments, and addenda will not be accepted after the proposal has been submitted to the Board.
  - b. A letter must be included with the proposal which:
    - i. Briefly explains and certifies the need for confidentiality;
    - ii. Contains complete identification and mailing addresses of all entities (faculty or staff members, private or public concerns) which have a right to, or ownership of, the confidential information:
    - iii. In the case of public institutions of higher education, provides assurance that this request is in accordance with the rules and regulations adopted by the institution's management board with respect to R.S. 44:4(16); and
    - iv. Is signed by all entities identified in V.A.5.b.ii.
  - c. The packet of information and the letter described in V.A.5.a and V.A.5.b must be reviewed by the chief administrator of the applicant's university or his/her designee, and he/she must certify in writing that the information is of a confidential or proprietary nature which falls into a category described by R.S. 44:4(16). This signed certification must be submitted simultaneously with the proposal.

A person or entity wishing access to documents and/or records as defined previously in this section may request such access by making a specific request to the researcher(s) and any other entity having a proprietary interest. Unanimity among all entities having a proprietary interest is required prior to release of information previously deemed confidential. In cases of denial of a request for access to protected information, the only recourse is an appeal through a court of law. The Board of Regents does not assume any liability for the release of protected information when the release is ordered in accordance with State or federal laws.

#### **B. SPECIFIC REQUIREMENTS AND FORMAT**

- 1. <u>COVER PAGE:</u> The form is available and must be completed in LOGAN.
- 2. <u>PROPOSAL NARRATIVE:</u> The following narrative sections of the proposal should be uploaded to LOGAN in a single PDF document:

#### a. Narrative Account of Career

Describe in narrative format relevant professional accomplishments, including prizes, honors, and significant grants or fellowships held. Provide grantor agencies and inclusive dates for each award or fellowship. This section should not exceed two (2) pages in length.

#### b. Context for Project and Work Plan

Describe the scholarly or artistic context for the planned work, the audience(s) for whom it is intended, and the project's potential significance both within its field of study or art practice and to broader lay audiences. Provide a detailed, but concise, account of the current stage of the project, additional work needed to bring it to completion, and a schedule of work for the award period requested. If the project was previously submitted to ATLAS but not funded, indicate how the project has progressed and changed since the previous submission. Applicants should also provide explanations if little or no progress is made between submissions. Indicate plans for publicly presenting project results: a book, journal articles, a CD/DVD, performances, gallery shows, online presentation, etc. This section should not exceed three (3) pages in length. Any works cited in this section should be referenced in footnotes, which must contain full bibliographic citations.

#### c. Project Goals and Evaluation

- i. Goals and Objectives: Provide a brief statement indicating the goal(s) of your project.
- ii. <u>Performance Measures</u>: Indicate how the Board of Regents or another entity can determine whether your project has been a success and the degree to which it has achieved its goals.

#### d. List of Productions and/or Publications

A list of completed works should be provided, as appropriate to the applicant's discipline. There is no page limit, but the list should be concise and relevant to the project. All entries should be listed chronologically, beginning with the most recent. The following information should be included for each entry, depending on the type of materials referenced:

- \* Publications (scholars, scientists, creative writers): full bibliographic references
- \* Exhibitions (installation artists): title (if applicable), dates, venue(s), and a list of works
- \* <u>Performances</u> (performance artists): title, date and venue first performed, list of performers at debut, and video/audio recording(s)
- \* <u>Compositions</u> (composers, arrangers): title, date(s) published and/or first performed, lists of performers at debut, and recording(s)
- \* <u>Films or videos</u> (filmmakers, performance artists): titles, dates of completion and dates of major public showings
- 3. PROSPECTUS AND EXAMPLE OF WORK: The applicant must submit a substantial example of the work in progress for which funding is sought, as well as a prospectus and/or chapter list describing the completed work as planned. The example of work should be representative of the applicant's proposed project. If previously published or presented work (e.g., a journal article) is provided, it must be an integral part of the proposed project and its place in the larger project clearly explained. Examples of work may include:
  - \* <u>Scholars and scientists</u>: Full chapter or other significant writing sample directly related to the work for which support is requested
  - \* Visual artists: Images of works completed/in progress that are relevant to the project
  - \* <u>Composers</u>: Full/partial score(s), written work and/or, if available, recordings relevant to the project
  - \* <u>Performance Artists, Musicians, and Filmmakers</u>: Video files containing a representative sample of the work in progress and/or script excerpts

Examples of work must be uploaded to LOGAN except in unusual circumstances (see Section V.A.2 for additional information). Examples of work and other materials submitted to the Board of Regents will not be returned to the submitting institution or investigator.

No appendices may be submitted with the proposal.

4. <u>BUDGET AND BUDGET NARRATIVE:</u> (See RFP Section II.F, which details the matching commitment requirements.)

#### a. Format

The project budget must be provided through LOGAN. A narrative justification must be uploaded which fully explains in terms of project needs and goals every item for which the expenditure of Support Fund money is proposed. An explanation of each item of institutional cost sharing and/or matching support must also be included.

In addition, the submitting institution must upload a formal letter providing a guarantee that the principal investigator(s) will receive some reduction of work with full pay should the project be recommended for funding. (See RFP Section V.B.9, which details the guarantee of faculty leave requirement.)

**NOTE:** All matching funds for which the principal investigator has received a commitment from an external source and which are cited in the text of the application must be listed on the budget page and explained in the budget justification.

#### b. Project Activation Date and Anticipated Date of Completion

The project activation date is June 1, 2013, and the termination date may be no later than June 30, 2014.

No-cost extensions may be requested to complete project activities per Louisiana R.S. 1514. This statute specifies that "contracts or amendments to existing contracts issued to institutions of higher education under the authority of the Board of Regents to award grants for educational purposes with funds available from the Louisiana Education Quality Support Fund, the Louisiana Fund, and the Health Excellence Fund may be entered into for periods of not more than six years. However, such contracts may be extended beyond the six year limit up to an additional two year period provided no additional costs are incurred."

Extensions to ATLAS projects are limited to one (1) year.

#### c. Disallowed Budgetary Items

Support Fund money may not be used to support regular, ongoing operating costs of existing or proposed programs, entities, or projects.

Support Fund money may not be used to pay indirect costs. Institutions may include indirect costs as part of matching commitments. Indirect costs should be calculated using the submitting institution's negotiated federal rate.

The scope of the ATLAS program also does not permit: (1) purchase of office furniture or routine office equipment (e.g., desktop computers); (2) construction of facilities; (3) maintenance of equipment, whether existing or purchased through the Support Fund; (4) routine renovation, expansion in size, or upgrading of facilities; (5) the payment of faculty from the submitting university to train other faculty at the same university, or faculty at other universities who are a part of an inter-institutional project; or (6) the payment of honoraria to faculty and staff at Louisiana institutions, whether they are involved in or external to the proposal. If such faculty participation as described in this paragraph is needed by the project, this should either be paid as part of the institutional match or donated by the faculty concerned.

Support may not be requested for shortfalls or deficits in budgets, scholarships or tuition, augmentation of salaries of individuals employed by the submitting institution, or unspecified contingencies. Finally, funds may not be requested for those proposed centers or institutes requiring Board approval prior to establishment which have not yet been approved by the Board of Regents.

Discounts received for equipment purchases are not eligible as part of the institutional match.

Only under exceptional circumstances may Support Fund dollars be used to support <u>institutional</u> memberships to business, technical, and/or professional organizations. <u>Individual faculty</u> memberships to any of the above are disallowed.

All costs for telephone, faxing, e-mail, telegraph, and postage are disallowed. Costs of printing project status and/or fiscal reports for the Board of Regents are disallowed.

#### d. Funds for Principal Investigators and Support Personnel

Principal Investigator(s) may request partial salary support at an annual amount not to exceed one-half (½) academic-year salary plus one-half (½) of summer salary. Requests for salary support are to be based on the investigator's regular compensation for the continuous period which, under the policy of the institution concerned, constitutes the basis of the investigator's salary. Submitting institutions are required to match on a 1:1 basis all salary requests from the ATLAS program.

Principal Investigator(s) may request summer salary support provided institutional matching requirements are met. The proposal should indicate how the total amount of release time from all sources will be sufficient for the applicant to complete the work for which support is requested.

If funds for graduate or undergraduate student support are requested, the students' roles in accomplishing objectives of the program must be identified, and the budget must clearly show the amount of time they will be involved and the rate of pay. The principal investigator must request the Board's prior approval to compensate support personnel, including graduate assistants, at higher levels than those requested in the proposal and/or specified by the funding stipulations for a grant.

Applicants must certify that (1) Support Fund monies will not supplant State funds and (2) full-time employees will not, under any circumstances, receive funds in excess of 100% of their regular salaries through Support Fund monies. Institutions may supplement this amount in the form of an institutional match.

#### e. Support for Graduate Students

Graduate assistant funding requested from the Board or pledged as an institutional and/or private match must be maintained <u>in full</u> if a proposal is recommended for funding, unless otherwise stipulated in the final report of the review panel. If suitable graduate students are unavailable, the principal investigator must request the Board's prior approval to reallocate these funds.

Support Fund money may not be requested to pay fringe benefits for graduate assistants or undergraduate student workers. Fringe benefits for graduate and/or undergraduate students may be provided as part of an institution's match.

#### f. Equipment, Travel and Other Expenses

Applicants may request funds for expenses, including publication costs, travel, and equipment. All items requested must be necessary for the completion of the project as proposed and strongly justified. The budget justification should include detailed descriptions of all support requested. For equipment, makes and model numbers should be provided when available. All travel requests must adhere to State regulations and travel-related expenses must be charged at State rates set forth in the Louisiana Travel Guide (PPM 49). Travel charges must be detailed in the proposal, and the budget justification should include breakdowns of actual costs, when known, or provide estimates for and explanations of all charges, including transportation costs, lodging, meals, and additional expenses. Lump-sum requests for travel funds should not be presented without detailed explanation. If a project is funded, permission for foreign travel must be obtained from the Division of Administration, as stipulated in PPM 49.

- 5. OPTIONAL ALTERNATIVE PLAN FOR SMALL AWARD: In past competitions, several ATLAS projects have been recommended for funding though no monies were available to support them. To address this issue, the final panel may elect to provide worthy proposals with smaller awards of \$3,000 \$5,000 to support a limited work plan if sufficient funds are not available to provide full support. If interested in doing so, applicants may provide a brief work plan indicating how a smaller award might assist in advancing the proposed project. Eligible costs and budgetary restrictions set forth in this RFP will remain in place for smaller awards. Should a project be recommended for a smaller award, reductions in institutional matching commitments will be negotiated when contracts are executed. This section should not exceed one (1) page in length.
- 6. <u>GUARANTEE OF FACULTY LEAVE:</u> (See RFP Section II.F, which details the matching requirement.) A formal letter signed by an authorized institutional representative indicating course load reductions and/or leave with pay committed by the institution in support of the project must be submitted with the proposal. The leave with pay provided by the institution shall be commensurate with salary support requested from ATLAS and, if possible, provided as match.

## APPENDIX A

## TAXONOMY OF DISCIPLINES

#### APPENDIX A

#### 

0208 Embryology

0211 Marine Biology0212 Microbiology0213 Neurosciences

0210 Genetics

0214 Nutrition

0215 Pathology

0217 Physiology 0218 Radiobiology

0219 Toxicology

0220 Zoology

0216 Pharmacology

0209 Entomology and Parasitology

0299 Biological Sciences - Other

#### NATURAL SCIENCES - BIOLOGICAL NATURAL SCIENCES -BIOLOGICAL (CONTINUED) Agriculture Health and Medical Sciences 0101 Agricultural Economics 0601 Allied Health 0102 Agricultural Production 0602 Audiology and Speech Pathology 0103 Agricultural Sciences 0603 Chiropractic 0604 Dental Sciences 0104 Agronomy 0105 Animal Sciences 0605 Environmental Health 0106 Fishery Sciences 0606 Epidemiology 0107 Food Sciences 0607 Health Science Administration 0608 Immunology 0108 Forestry and Related Sciences 0109 Horticulture 0609 Medical Sciences 0110 Resource Management 0610 Nursing 0111 Parks and Recreation Management 0611 Optometry 0612 Osteopathic Medicine 0112 Plant Sciences 0613 Pharmaceutical Sciences (Except Agronomy, see 0104) 0113 Renewable Natural Resources 0614 Podiatry 0114 Soil Sciences 0615 Pre-Medicine 0115 Wildlife Management 0616 Public Health 0199 Agriculture - Other 0617 Veterinary Science 0699 Health and Medical Sciences - Other **Biological Sciences** 0201 Anatomy 0202 Biochemistry/Biophysics NATURAL SCIENCES - PHYSICAL 0203 Biology 0204 Biometry Chemistry 0301 Chemistry, General 0205 Botany 0206 Cell and Molecular Biology 0302 Analytical Chemistry 0207 Ecology

0301 Chemistry, General 0302 Analytical Chemistry 0303 Inorganic Chemistry 0304 Organic Chemistry 0305 Pharmaceutical Chemistry 0306 Physical Chemistry 0309 Chemistry - Other

#### Physics and Astronomy 0801 Astronomy 0802 Astrophysics

0803 Atomic/Molecular Physics

0804 Nuclear Physics

0805 Optics

0806 Planetary Science 0807 Solid State Physics

0899 Physics and Astronomy - Other

#### NATURAL SCIENCES - COMPUTATIONAL

Computer and Information Sciences

0401 Computer Programming

0402 Computer Sciences

0403 Data Processing

0404 Information Sciences

0405 Microcomputer Applications

0406 Systems Analysis

0499 Computer Sciences - Other

Mathematical Sciences

0701 Actuarial Sciences

0702 Applied Mathematics

0703 Mathematics

0704 Probability and Statistics

0799 Mathematical Sciences - Other

#### NATURAL SCIENCES - EARTH/ENVIRONMENTAL

Earth, Atmospheric, and Marine Sciences

0501 Atmospheric Sciences

0502 Environmental Sciences

0503 Geochemistry

0504 Geology

0505 Geophysics and Seismology

0506 Paleontology

0507 Meteorology

0508 Oceanography

0599 Earth, Atmospheric, and Marine Sciences - Other

4403 Environmental Design

4405 Landscape Architecture

#### **ENGINEERING - A**

Engineering - Chemical

1001 Chemical Engineering

1002 Pulp and Paper Production

1003 Wood Science

1099 Chemical Engineering - Other

Engineering - Civil

1101 Architectural Engineering

1102 Civil Engineering

1103 Environmental/Sanitary Engr.

1199 Civil Engineering - Other

#### **ENGINEERING - A (CONTINUED)**

Engineering - Electrical and Electronics

1201 Computer Engineering

1202 Communications Engineering

1203 Electrical Engineering

1204 Electronics Engineering

1299 Electrical and Electronics

Engineering - Other

#### **ENGINEERING - B**

Engineering - Industrial

1301 Industrial Engineering

1302 Operations Research

1399 Industrial Engineering - Other

Engineering - Materials

1401 Ceramic Engineering

1402 Materials Engineering

1403 Materials Science

1404 Metallurgical Engineering

1499 Materials Engineering - Other

Engineering - Mechanical

1501 Engineering Mechanics

1502 Mechanical Engineering

1599 Mechanical Engineering - Other

#### Engineering - Other

1601 Aerospace Engineering

1602 Agricultural Engineering

1603 Biomedical Engineering

1604 Engineering Physics

1605 Engineering Science

1606 Geological Engineering

1607 Mining Engineering

1608 Naval Architecture and

Marine Engineering

1609 Nuclear Engineering

1610 Ocean Engineering

1611 Petroleum Engineering

1612 Systems Engineering

1613 Textile Engineering

1613 Textile Engineering 1699 Engineering - Other

#### SOCIAL SCIENCES

Anthropology and Archaeology

1701 Anthropology

1702 Archaeology

Economics

1801 Economics

1802 Econometrics

Law (5102)

Political Science

1901 International Relations

1902 Political Science and Government

1903 Public Policy Studies

1999 Political Science - Other

Psychology

2001 Clinical Psychology

2002 Cognitive Psychology

2003 Community Psychology

2004 Comparative Psychology

2005 Counseling Psychology

2006 Developmental Psychology

2007 Experimental Psychology

2008 Industrial and Organizational Psychology

2009 Personality Psychology

2010 Physiological Psychology

2011 Psycholinguistics

2012 Psychometrics

2013 Psychopharmacology

2014 Quantitative Psychology

2015 Social Psychology

2099 Psychology - Other

Sociology and Social Work

2101 Demography

2102 Sociology

5001 Social Work

Social Sciences - Other

2201 Area Studies

2202 Criminal Justice/Criminology

2203 Geography

2204 Public Affairs and 4801 Public Administration

2205 Urban Studies and 4406 Urban Design

2299 Social Sciences - Other

4401 Architecture

4402 City and Regional Planning

4404 Interior Design

#### SOCIAL SCIENCES (CONTINUED)

Communications

4501 Advertising

4502 Communications Research

4503 Journalism and Mass Communication

4504 Public Relations

4505 Radio, TV and Film

4506 Speech Communication

4599 Communications - Other

Home Economics

4601 Consumer Economics

4602 Family Relations

4699 Home Economics - Other

Library and Archival Sciences

4701 Library Science

4702 Archival Science

#### **ARTS**

Arts - History, Theory, and Criticism

2301 Art History and Criticism

2302 Music History, Musicology,

and Theory

2399 Arts - History, Theory, and

Criticism - Other

Arts - Performance and Studio

2401 Art

2402 Dance

2403 Drama/Theatre Arts

2404 Music

2405 Design (including Industrial)

2406 Fine Arts

2499 Arts - Performance and

Studio - Other

Arts - Other

2999A Arts - Other

5101A Interdisciplinary Programs

#### **HUMANITIES**

English Language and Literature

2501 English Language and Literature

2502 American Language and Literature

2503 Creative Writing

2599 English Language and

Literature – Other

#### **HUMANITIES (CONTINUED)**

Foreign Language and Literature

2601 Asiatic Languages

2602 Foreign Literature

2603 French

2604 Germanic Languages

2605 Italian

2606 Russian

2607 Semitic Languages

2608 Spanish

2699 Foreign Languages - Other

#### History

2701 American History

2702 European History

2703 History of Science

2799 History - Other

#### Philosophy

2801 All Philosophy Fields

Humanities - Other

2901 Classics

2902 Comparative Language and Literature

2903 Linguistics

2904 Religious Studies; 4901 Religion; and 4902 Theology

2999H Humanities - Other

5101H Interdisciplinary Programs

#### **EDUCATION**

Education - Administration

3001 Educational Administration

3002 Educational Supervision

Education - Curriculum and Instruction

3101 Curriculum and Instruction

Education - Early Childhood

3201 Early Childhood Education

Education - Elementary

3301 Elementary Education

3302 Elementary-level Teaching Fields

#### **EDUCATION (CONTINUED)**

Education - Evaluation and Research

3401 Educational Statistics and

Research

3402 Educational Testing Evaluation

and Measurement

3403 Educational Psychology

3404 Elementary and Secondary

Research

3405 Higher Education Research

Education - Higher

3501 Educational Policy

3502 Higher Education

Education - Secondary

3601 Secondary Education

3602 Secondary Level Teaching

Fields

Education - Special

3701 Education of the Gifted

3702 Education of the Handicapped

3703 Education of Special Learning Disabilities

3704 Remedial Education

3799 Other Special Education

Fields

Education - Student Counseling and

Personnel Services

3801 Personnel Services

3802 Student Counseling

Education - Other

3901 Adult and Continuing Education

3902 Bilingual/Crosscultural Education

3903 Educational Media

3904 Junior High/Middle School

Education

3905 Pre-Elementary Education

3906 Social Foundations

3907 Teaching English as a Second

Language/Foreign Language

3999 Other Education Fields

#### **BUSINESS**

#### Accounting

4001 Accounting

4002 Taxation

#### Banking and Finance

- 4101 Commercial Banking
- 4102 Finance
- 4103 Investments and Securities

#### Business, Administration and Management

4201 Business Administration and

Management

- 4202 Human Resource Development
- 4203 Institutional Management
- 4204 Labor/Industrial Relations
- 4205 Management Science
- 4206 Organizational Behavior
- 4207 Personnel Management
- 4299 Business Management Other

#### Business - Other

- 4301 Business Economics
- 4302 International Business Management
- 4303 Management Information Systems
- 4304 Marketing and Distribution
- 4305 Marketing Management and Research
- 4399 Business Fields Other

(2012)

## **APPENDIX B**

CRITERIA FOR REVIEW

#### **Merit Review Criteria for ATLAS Projects**

**Instructions:** Evaluators are asked to consider the following criteria in assessing applications and should provide commentary useful to additional reviewers and to the Board as final funding determinations are made, as well as to the applicant. After funding decisions are finalized, all applicants will receive reviewer comments as part of the debriefing process. The Board of Regents assures anonymity for all reviewers. If necessary, reviewers may submit additional pages of commentary.

**Criterion 1:** The significance of the project to its current field of study or art practice and its interest for broader academic and/or lay audiences

**Criterion 2:** The strength of the proposal's argument for the conceptualization, definition, and organization of the project

**Criterion 3:** The quality of the applicant's previous work and/or promise of quality based on the applicant's preparations for the current project

Criterion 4: The feasibility of the proposed plan of work and likelihood that the applicant will complete the project

**Recommendation for Funding:** Please indicate how strongly you would recommend this project for funding by the Board of Regents. If you believe that the project should be funded, please also provide an analysis of the budget request. If cuts to the requested budget are suggested, indicate the line item(s) to be cut and provide a total recommended funding amount.